

10/525379 #2

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PATENT
36856.1308

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Takuji NAKAGAWA and Kikuo WAKINO	International Application No.: PCT/JP2004/008875
Serial No.: Unknown	International Filing Date: June 4, 2004
Filed: Concurrently herewith	
For: THREE-DIMENSIONAL PHOTONIC STRUCTURE AND METHOD FOR MANUFACTURING THREE-DIMENSIONAL PHOTONIC STRUCTURE	

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §1.56, and in recognition of their duty to disclose to the United States Patent and Trademark Office relevant information known to be material to patentability, Applicants herewith submit copies of the prior art listed on the attached Form PTO-1449. The prior art references nos. 2, 4, and 5 were cited in the International Search Report for PCT Application No. PCT/JP2004/008875.

The relevance of JP 2000-341031 can be found on pages 2-4 of the Substitute Specification filed concurrently herewith.

The relevance of JP 2001-502256 can be found on pages 3 and 4 of the Substitute Specification filed concurrently herewith.

JP 2001-237616 is not cited in the International Search Report. However, its relevance can be found on page 3 of the Substitute Specification filed concurrently herewith.


Applicants cite U.S. 6,547,982 because it is the U.S. equivalent of JP 2001-502256. Applicants did not, however, submit a copy of the reference as this is no longer a USPTO requirement.

The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

Applicants respectfully request that the disclosed references be made of record in the subject application.

Respectfully submitted,

Date: February 23, 2005


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Substitute for form 1449/PTO

Complete if Known 10/525379

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Application Number

Filing Date

First Named Inventor

Art Unit

Examiner Name

Attorney Docket Number

Takuji NAKAGAWA

36856.1308

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	6,547,982	04/2003	Shepherd et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number-Kind Code ⁵ (if known)	Publication Date MM -YYYY	Country of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	2	JP 2001-502256 (with machine translation)	02/2001	JAPAN		X
	3	JP 2001-237616 (with English abstract and machine translation)	08/2001	JAPAN		X
	4	JP 2000-341031 (with English abstract and machine translation)	12/2000	JAPAN		X
	5	JP 2004-42546 (with English abstract and machine translation)	02/2004	JAPAN		X

*Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

¹ Applicant unique citation designation number (optional). ²See Kind Codes of USPTO Patent documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Abstract is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.